

# **Summer Dust Aerosols Detected from CALIPSO Observations over the Tibetan Plateau**

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## Abstract

Summertime Tibetan dust aerosol plumes are detected from the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) satellite. CALIPSO reveals that dust storms occur 4 times more frequently than previously found from Tibetan surface observations because few surface sites were available over remote northwestern Tibet. The Tibetan dust aerosol is characterized by column-averaged depolarization and color ratios around 21% and 0.83, respectively. The dust layers appear most frequently around 4-7 km above mean sea level. The depolarization ratio for about 90% of the dust particles is less than 10% at low altitudes (3-5km), while only about 50% of the particles have a greater depolarization ratio at higher altitudes (7-10 km) suggesting a separation of larger irregular particles from smaller, near spherical ones during transport. The 4-day back trajectory analyses show that these plumes probably originate from the nearby Taklimakan desert surface and accumulate over the northern slopes of the Tibetan Plateau. These dust outbreaks can affect the radiation balance of the atmosphere of Tibet because they both absorb and reflect solar radiation.

INDEX TERMS: 0305 Atmospheric Composition and Structure: Aerosols and particles; 3360 Atmospheric Processes: Remote sensing. 0368 Atmospheric Composition and Structure: Troposphere: constituent transport and chemistry; 3311 Atmospheric Processes: Clouds and aerosols;

## 1. Introduction

Every year, deserts in eastern Asia produce a large amount of mineral dust particles that become entrained in the atmosphere. These particles have been recognized as important atmospheric constituents because dust particles influence the global climate by scattering and absorbing solar radiation, and absorbing and emitting outgoing long-wave radiation [Tegen, 2003; Huang *et al.*, 2006b; Slingo *et al.*, 2006]. They can also cause changes in cloud properties, such as the number concentration and size of cloud droplets, which can alter both cloud albedo and cloud lifetime [Twomey *et al.*, 1984; Huang *et al.*, 2006a].

During the summer, dust from the deserts of western China, Afghanistan, Pakistan, and the Middle East is transported into and stacked up against the northern and southern slopes of the Tibetan Plateau. The absorption of solar radiation by dust heats up the elevated surface air over the slopes. Recently, Lau *et al.* [2006a, b] pointed out that, on intra-seasonal to inter-annual time scales, heating by absorbing aerosols may induce a tropospheric temperature anomaly over parts of northern India and Tibet in late spring and early summer, leading to an earlier onset and intensification of the Indian monsoon. They proposed the importance of atmospheric heating by an “elevated heat pump” effect due to dust transported from the nearby deserts to northern India stacking up against the southern slopes of the Himalayas. This dust combined with the black carbon from industrial and agricultural pollution in northern India provides an anomalous diabatic heat source that triggers positive feedback in monsoon convective heating, enhancing the Indian monsoon. These results suggest that aerosol effects on the monsoon water cycle dynamics are complex and likely to be a strong function of spatial and temporal scales.

1 However, no convincing evidence of aerosol effect on monsoon climate variability has  
2 been noted due to the lack of observed dust aerosol over the Tibetan area.

3 Many Asian dust studies, including ground-based lidar observations [*Murayama*  
4 *et al.*, 2001; *Liu et al.*, 2002; *Sugimoto et al.*, 2003], have focused on the late winter and  
5 spring due to observed long-range dust transport. There have been very few studies  
6 analyzing the specific signatures of summer and fall dust storms over the Tibetan Plateau.  
7 The recently launched CALIPSO satellite provides a wealth of actively sensed data over  
8 the region and provides an outstanding opportunity for studying Tibetan dust storms and  
9 their potential climatic effects. Unlike the current generation of space-based remote  
10 sensing instruments, CALIPSO can observe aerosols over bright surfaces and beneath  
11 thin clouds as well as in clear sky conditions [*Winker et al.*, 2006; *Liu et al.*, 2004;  
12 *Vaughan et al.*, 2004]. This study investigates Tibetan dust characteristics and physical  
13 properties using CALIPSO data. The origins of Tibetan dust storms are also examined  
14 using the HYbrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model  
15 [*Draxler*, 2006; *Escudero et al.*, 2006] (<http://www.arl.noaa.gov/ready/hysplit4.html>).

## 16 **2. Data**

17 The Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) is the primary  
18 instrument on the CALIPSO satellite. CALIOP is designed to acquire vertical profiles of  
19 elastic backscatter at two wavelengths (532 nm and 1064 nm) from a near nadir-viewing  
20 geometry during both day and night phases of the orbit. In addition to total backscatter at  
21 the two wavelengths, CALIOP also provides profiles of linear depolarization at 532 nm.  
22 The depolarization measurements enable the discrimination between ice and water  
23 clouds, and the identification of non-spherical aerosol particles. CALIOP measurements

1 taken from June through September 2006 over Tibetan area (25 - 45°N, 75 - 100°E) are  
2 obtained to calculate the volume depolarization ratio and volume color ratio profiles.  
3 Note that the depolarization ratio and the color ratio are for total scattering, which is the  
4 combination of particulate and molecular scattering.

5 Aura OMI (Ozone Monitoring Instrument) AAI (Absorbing Aerosol Index) data are  
6 also used in this paper. The AAI indicates the presence of ultraviolet (UV)-absorbing  
7 aerosols in the Earth's atmosphere, and is derived from a residual of the measured UV  
8 reflectance [*Herman et al.*, 1997; *Torres et al.*, 1998; *de Graaf et al.*, 2005]. The AAI has  
9 been used for a long time to remotely sense UV-absorbing aerosols, such as desert dust (e.g.,  
10 *Moulin and Chiapello* [2004]).

### 11 **3. Results**

12 A typical example of the vertical distribution of a summer dust plume over the  
13 Tibetan plateau is shown in Figure 1. On 26 July, a moderate wind and dust storm in  
14 North Xinjiang and the Tarim Basin, accompanied by localized severe dust storms,  
15 developed and extended southward. Under the influence of this storm, a wind-blown  
16 sand and/or dust cloud persisted over northern Qinghai and Tibet through 1 August. The  
17 CALIOP orbit-altitude cross section of the 532-nm total attenuated backscatter for 27  
18 July 2006 at 2000 UTC in Figure 1a shows that a dust layer developed over the northern  
19 slope of the Tibetan Plateau and extended from ground level to a height of 5 – 9 km  
20 above mean sea level (MSL). The mineral dust layer had high values of backscatter  
21 because it was thick and primarily composed of largely non-spherical particles. Figures  
22 1b and 1c show the vertical profiles of backscatter intensity and depolarization ratio at  
23 39.23°N, 88.93°E (left vertical line in Figure 1a) and at 37.89°N, 88.52°E (right vertical  
24 line in Figure 1a), respectively. The vertical profiles of the depolarization ratio clearly

1 show the vertical structures of the dust layers over the Taklimakan desert (Figure 1b) and  
2 the northern slope of the Tibetan Plateau (Figure 1c). The depolarization ratio of 20-30%  
3 indicates the non-sphericity of the particles, which are assumed to be irregularly shaped  
4 dust.

5 The vertical distribution of dust plumes is one of the critical parameters in the  
6 assessment of dust radiative forcing [*Claquin et al.*, 1998]. An analysis of observations  
7 by *Minnis et al.* [1978] and a model study by *Carlson and Benjamin* [1980] showed that  
8 an elevated Saharan dust layer could change the atmospheric heating rate dramatically.  
9 *Liao and Seinfeld* [1998] claimed that clear sky long-wave radiative forcing and cloudy  
10 sky top-of-atmosphere (TOA) short-wave radiative forcing are very sensitive to the  
11 altitude of the dust layer. *Meloni et al.* [2005] found that SW aerosol radiative forcing at  
12 the TOA has a strong dependence on aerosol vertical profiles. One of the advantages of  
13 the CALIOP is that it provides a direct measure of the vertical structure of dust plumes.  
14 Figure 1 shows that the dust layer extends from 4 to 6.5 km over both the Taklimakan  
15 desert (Figure 1b) and the Tibetan Plateau (Figure 1c).

16 Figure 2 shows the spatial distribution of the AAI on 28 July as derived from  
17 AURA satellite measurements that were taken about 12 hours later than the CALIPSO  
18 data in Figure 1. It is a semi-quantitative index of the columnar absorption by aerosols at  
19 0.340  $\mu\text{m}$ . The signal is derived from the absorption of the upwelling Rayleigh scattering  
20 from the lower strata of the atmosphere [*Herman et al.*, 1997]. It can be seen in Figure 2  
21 that the large AAI center is in the area surrounding the Taklimakan desert in Xinjiang. The  
22 Taklimakan desert area has a high frequency of dust storm occurrence, averaging more than  
23 80 days each year. Dust aerosols from the Taklimakan Desert are entrained to an elevation of  
24 >5 km and then transported over Tibet by the prevailing winds, which explains the structure

1 seen in Figures 1 and 2. The AAI exceeds 0.5 over most of the Tibet area and with values  
2 greater than 1.0 over northern Tibet. These large values indicate that the aerosols are highly  
3 absorptive.

4 To perform a statistical study of Tibetan dust plume optical properties, 10 night-  
5 time cases were selected (see Table 1). Figure 3 summarizes the composite frequency  
6 distributions of the depolarization ratio (Fig. 3a) and the color ratio (Fig. 3b) as functions  
7 of altitude for these cases. As shown in Figure 3a, the depolarization ratio decreases  
8 significantly with increasing altitude. For lower layers at altitudes from 3 to 5 km, the  
9 depolarization ratio for 64% of the pixels exceeds 20%, is between 10 and 20% for 26%  
10 of the dust pixels, and is less than 10% for the remaining 10% of the dusty pixels. The  
11 larger depolarization ratio indicates the presence of highly concentrated desert dust that is  
12 probably very irregular in shape. Note that the volume depolarization ratio is particle  
13 concentration dependent, that is, the higher the dust concentration, the greater the volume  
14 depolarization ratio (the closer to the particulate depolarization ratio). However, the  
15 presence of spherical aerosols can reduce the dust volume depolarization ratio. In the  
16 free troposphere, between 7 and 10 km, the depolarization ratios for 47% of the dust  
17 pixels is less than 10% and between 10 and 20% for 28% of the pixels, where the dust  
18 concentration is relatively low. The features of depolarization ratios observed in the  
19 middle troposphere, between 5 and 7 km, fall between the values observed for the free  
20 and lower troposphere. The depolarization ratio frequency percentages were 20%, 33%  
21 and 47% for the 0-10%, 10-20% and >20% ranges, respectively. In comparison to the  
22 volume depolarization ratio, the vertical distributions of volume color ratio are much  
23 simpler with values ranging from 0.6 to 0.9 (Figure 3b), probably because data with low  
24 depolarization ratio values have been removed.

1 To investigate the dust aerosol origins, air mass trajectories were computed with  
2 the HYSPLIT model for the 10 cases listed in Table 1. Back trajectories with starting  
3 points, based on the CALIPSO observation, were computed for a 4-day period. The  
4 starting points from the back trajectory analyses (i.e., the end point of dust transport) are  
5 marked with stars in Figure 4. The horizontal trajectory curves show that the dust plumes  
6 observed over the Tibetan Plateau all originated from the Taklimakan Desert. However,  
7 the back trajectories show that the dust particles are not directly lofted to the Tibetan  
8 Plateau but that most transports occur around the anticyclonic pathway. The dust first  
9 moves eastward and then turns to the south around the edge of desert. After that the dust  
10 moves westward and accumulates over the northern slopes of the Tibetan Plateau where  
11 eventually it is lofted up over the plateau. This pathway may be related to the low thermal  
12 cyclone that occurs when dust storms are observed in the Taklimakan Desert.

#### 13 **4. Conclusions and Discussion**

14 This paper presents direct Tibetan dust aerosol measurements from CALIPSO  
15 observations during summer, a season that is typically inactive for the development of dust  
16 storms. The dust particles primarily originate from the nearby Taklimakan desert and  
17 accumulate over the northern slope of the Tibetan Plateau. Because surface stations are  
18 mainly located on the eastern Tibetan Plateau, no surface observations are available over the  
19 northwestern region. However, during summer most Tibetan dust plumes develop elsewhere  
20 and are transported over western Tibet and remain undetected from the surface. Thus,  
21 satellite remote sensing data are crucial for detecting the Tibetan dust plumes and for  
22 estimating the optical properties and radiative impacts of the particles. For example,  
23 CALIPSO detected approximately 48 Tibetan dust plumes from a total of 90 nighttime



1 overpasses over Tibet for the period from 14 June to 30 September 2006. According to the  
2 observations, the frequency of occurrence of summer dust plumes over this area is about  
3 53%, which is much higher than results obtained from surface observations. The total  
4 averaged dust events (floating-dust and blowing dust plus dust storm) observed from the  
5 surface stations (box region in Figure 4) is less than 10% for the 4-month period from June to  
6 September. It is about 40% less than the frequency detected by CALIPSO. Although the  
7 difference between CALIPSO and surface observations may be related to other factors, the  
8 CALIPSO measurements provide a wealth of previously unknown information. Of course,  
9 this study is only a first step in quantifying the effectiveness of CALIPSO for identifying  
10 dust plumes over the Tibetan Plateau. Further research should be focused on a combination  
11 of CALIPSO measurements with other NASA A-Train satellite measurements. The NASA  
12 A-Train satellites can provide near-simultaneous measurements of aerosol optical and  
13 radiative properties, cloud and aerosol vertical structure, cloud properties, and water vapor  
14 profiles. By combining TOA fluxes from the Clouds and Earth's Radiant Energy System  
15 (CERES) scanners and aerosol/cloud properties retrieved from the Moderate Resolution  
16 Imaging Spectroradiometer on *Aqua* with the vertical distributions of aerosols and clouds  
17 from CALIPSO, it should be possible to reliably determine dust aerosol radiative forcing  
18 over this remote area where heavy dust loadings occur much more frequently than expected.

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10    (<http://www.arl.noaa.gov/ready.html>) used in this publication.

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Table 1. Summer Tibetan dust storm cases selected from June to September 2006

Case	Date	Start Point of Backward Trajectory	Ground Height at Start Point (m)
1	June 27, 2006	36.67 °N, 91.25 °E	4611.6
	July 9, 2006	36.00 °N, 85.00 °E	4979.0
3	July 27, 2006	36.11 °N, 87.99 °E	4995.6
4	July 30, 2006	36.11 °N, 80.26 °E	4297.1
5	August 1, 2006	34.92 °N, 83.01 °E	5239.8
6	August 12, 2006	36.11 °N, 87.97 °E	5006.7
7	August 19, 2006	36.11 °N, 86.43 °E	5040.1
8	September 4, 2006	37.57 °N, 86.62 °E	3516.8
9	September 6, 2006	36.11 °N, 89.48 °E	4914.2
10	September 20, 2006	36.41 °N, 86.46 °E	4938.7

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## Figure Captions

Figure 1 a) The altitude-orbit cross-section of total attenuated backscattering intensity over Taklamkan-Tibet Plateau; (b) the vertical profiles of total 532 nm attenuated backscattering intensity (black curve) and depolarization ratio (green curve) about 20 GMT on 27 July 2006 at 39.38°N, 88.93°E; and (c) same as (b) but for 37.89°N, 88.52°E.

Figure 2 Aura OMI aerosol index over Taklamkan Desert and Tibet Plateau on 28 July 2006.

Figure 3 Frequency distribution of the depolarization ratio (a) and the color ratio (b) as functions of altitude for the 10 selected cases.

Figure 4 Four-day back trajectories of air parcels climbing upon the Tibet Plateau for the 10 cases listed in Table 1. The gray dots represent surface observation stations.

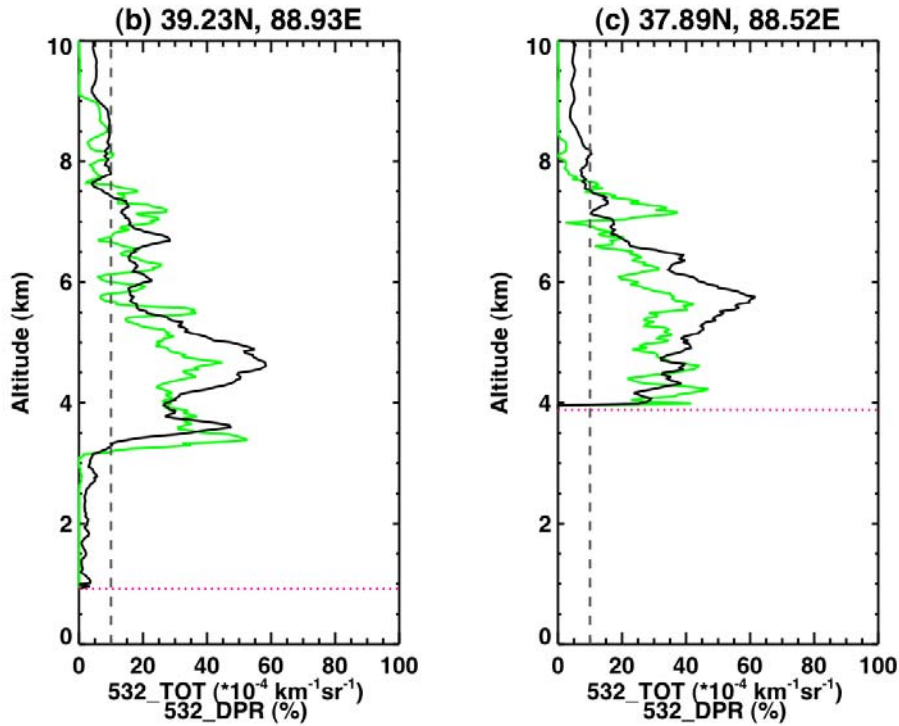
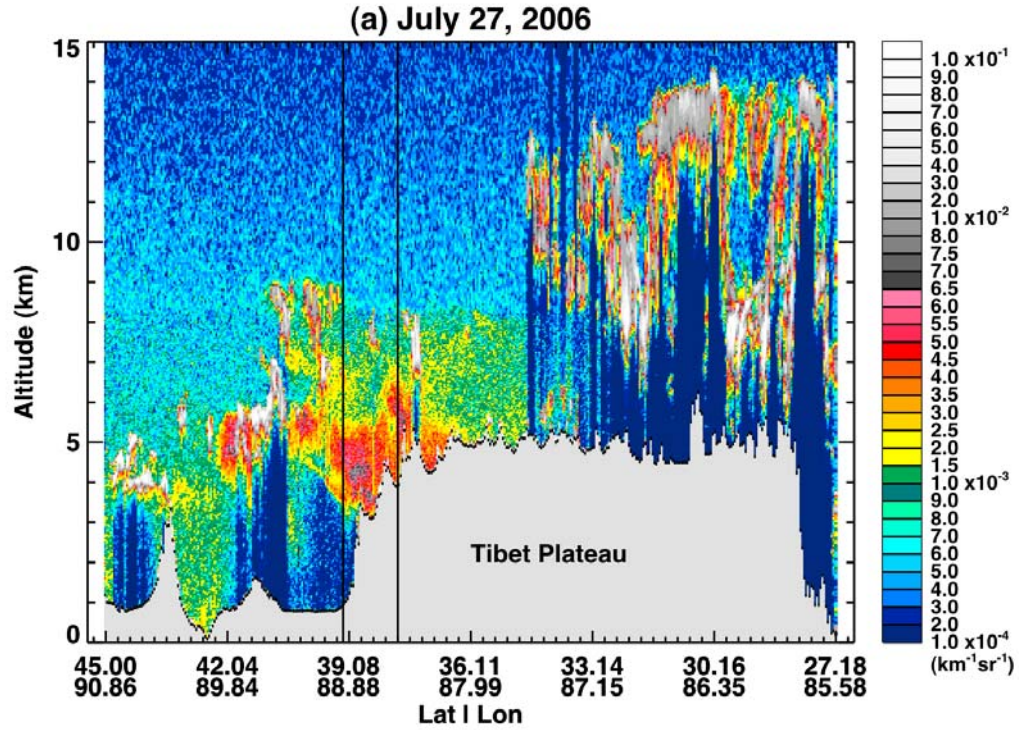


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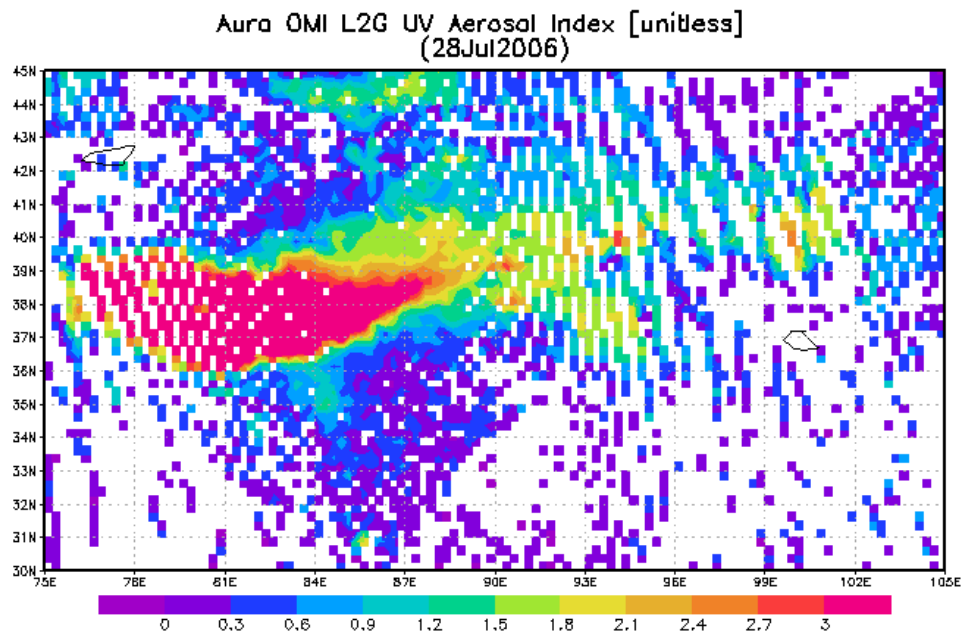
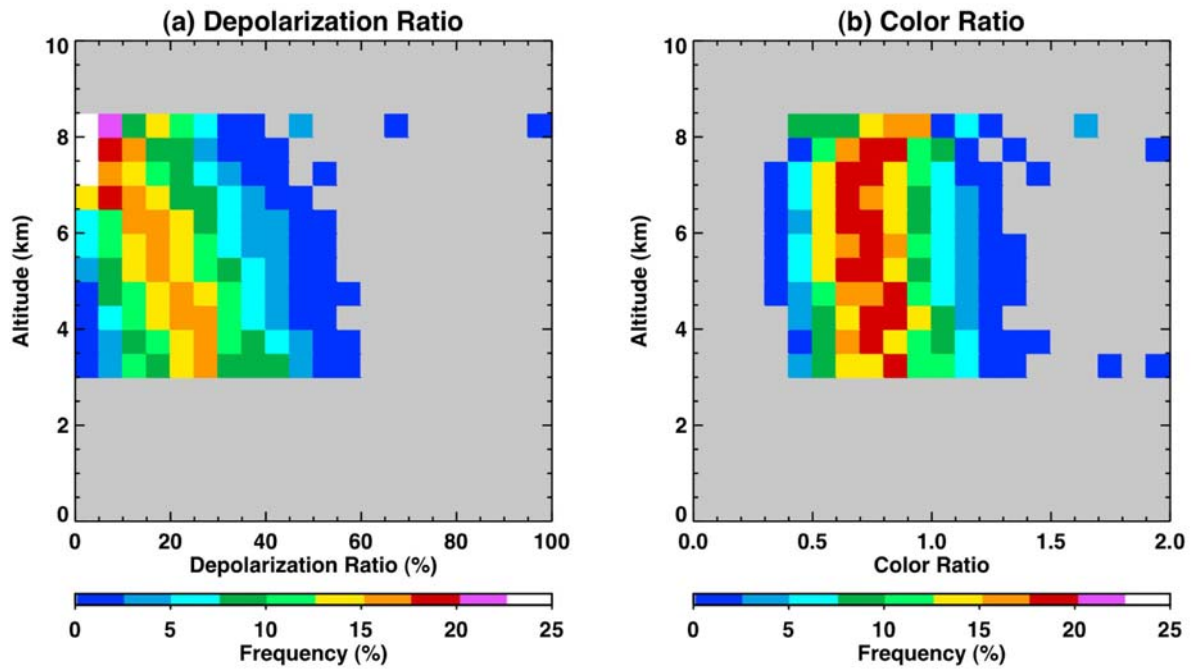
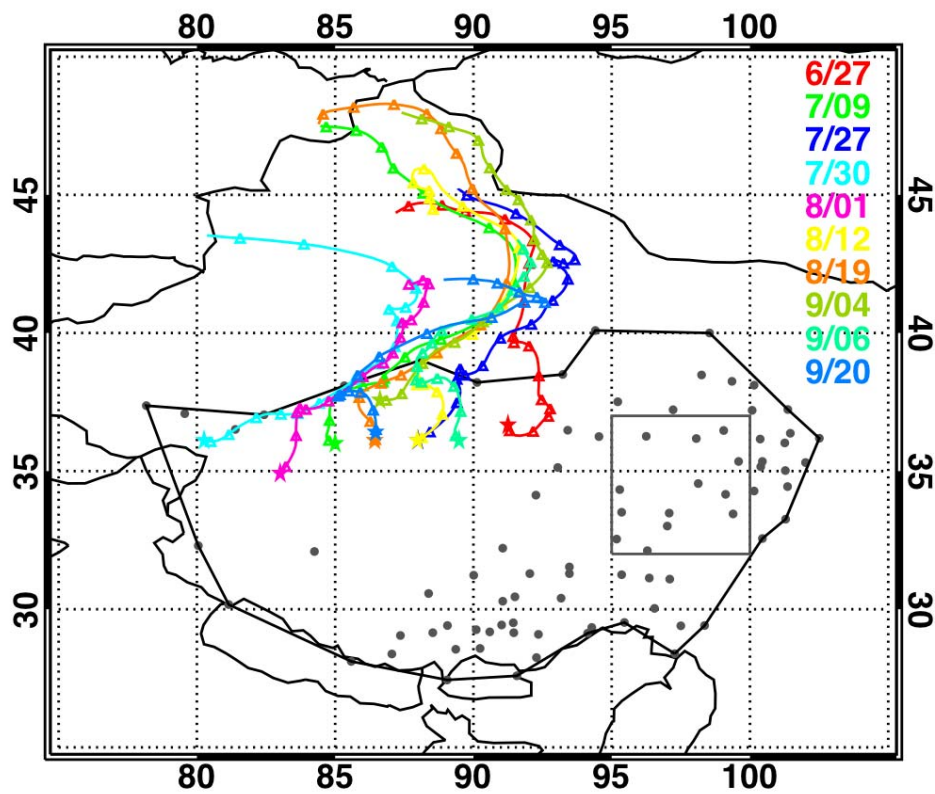


Figure 2. Aura OMI aerosol index over Taklamkan Desert and Tibet Plateau on 28 July 2006.



1  
 2 Figure 3 Frequency distribution of the depolarization ratio (a) and the color ratio (b) as  
 3 functions of altitude for the 10 selected cases.  
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Figure 4 Four-day back trajectories of air parcels climbing upon the Tibet Plateau for the 10 cases listed in Table 1. The gray dots represent surface observation stations.

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